# States, Citizens, and Regimes

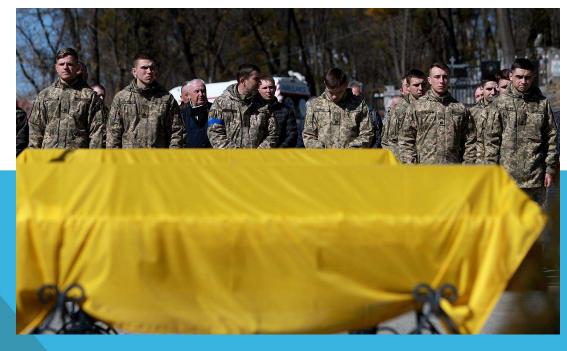
POLITICAL SCIENCE

# INTRODUCTORY COMMENTS AND KEY QUESTIONS

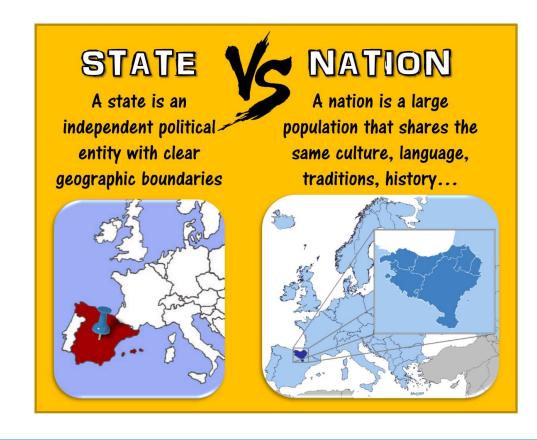
Some initial key points:

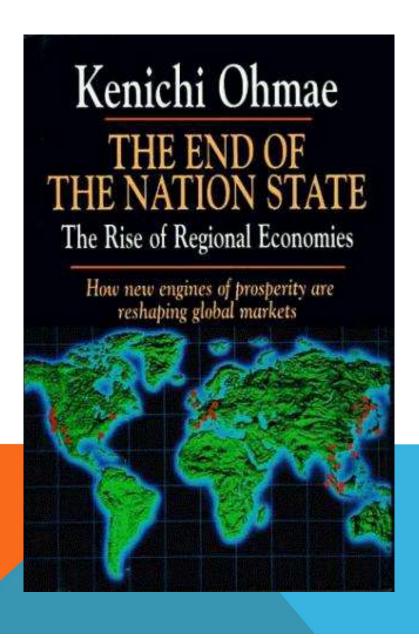
The authors of the textbook note that the proper relationship between a state and its people, individually and collectively, is one of the most interesting and debated questions in political

science.



All successful modern states are able to compel their citizens to obey and to regulate many areas of their lives.





No modern state can do this without answering questions about the legitimate boundaries of such compulsion and regulation.

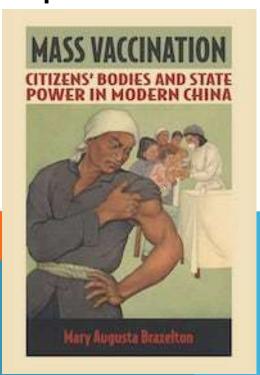


The nation-state is not dead.

Its influence over daily life is more extensive than ever.

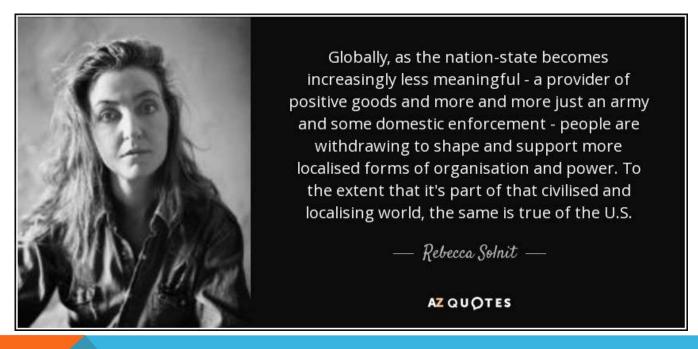
States vary on how far and under what circumstances they can compel individuals and groups to obey those in authority....

...how extensively they can intervene in people's lives...

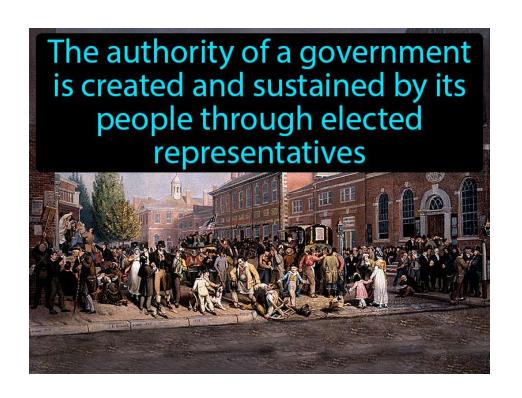


...and how and whether some areas of individual and collective life should not be subject to the state's power.

These differences are embodied in what political scientists call regimes.



A regime is a set of formal and informal political institutions that defines a type of government.



But how should we understand the historical development of the "people" over whom modern states claim sovereignty?

# Citizens and Civil Society

At the most basic level, a *citizen* is a member of a political community or

state.



# Civil Rights

Those rights that guarantee individual freedom as well as equal, just, and fair treatment by the state.



## **Political Rights**

Those rights associated with active political

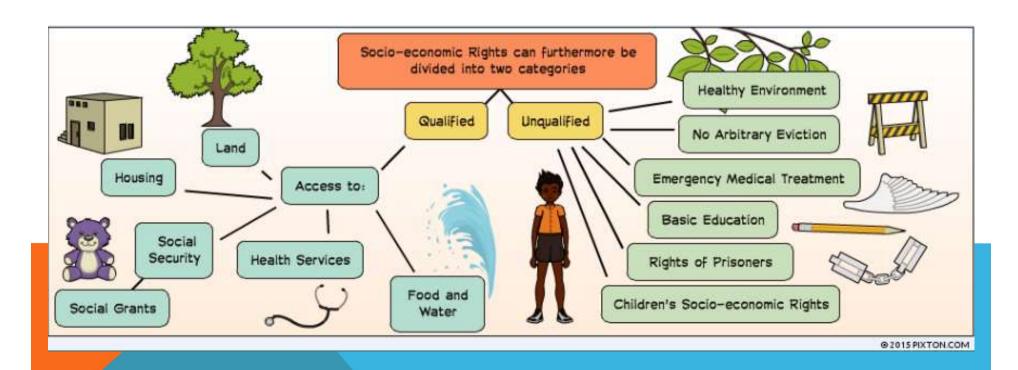
participation – for example, to free association, voting, and running for office.

# POLITICAL RIGHTS

The rights that involve participation in the establishment or administration of a government and are usually held to entitle the adult citizen to exercise of the franchise, the holding of public office, and other political activities.

# **Social Rights**

Those rights related to basic well-being and socioeconomic equality.



## Regimes, Ideologies, and Citizens

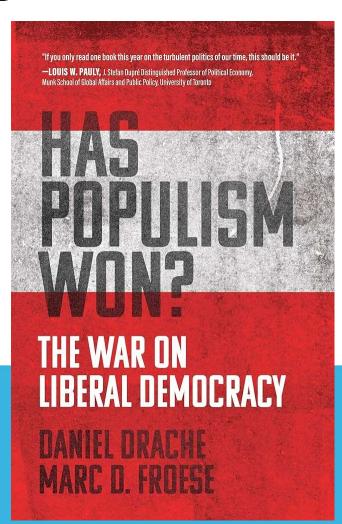
Social Contract Theory – a philosophical approach underlying liberalism that begins from the premise that legitimate governments are formed when free and independent individuals join in contract to permit representatives to govern over them in their common interests.

### **Liberal Democracy**

Liberal Democracy – A system of government that

provides eight key guarantees:

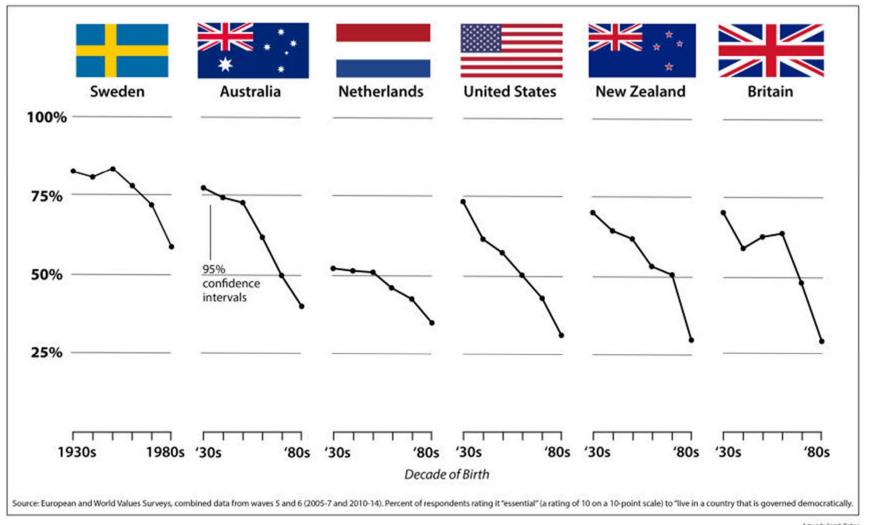
- 1. Freedom of association
- 2. Freedom of expression
- 3. The right to vote
- 4. Broad citizen eligibility for public office



# **Liberal Democracy**

#### ...continued:

- 5. Competition among political leaders
- 6. Alternative sources of information
- 7. Free and fair elections
- 8. Government policies based on citizen preferences



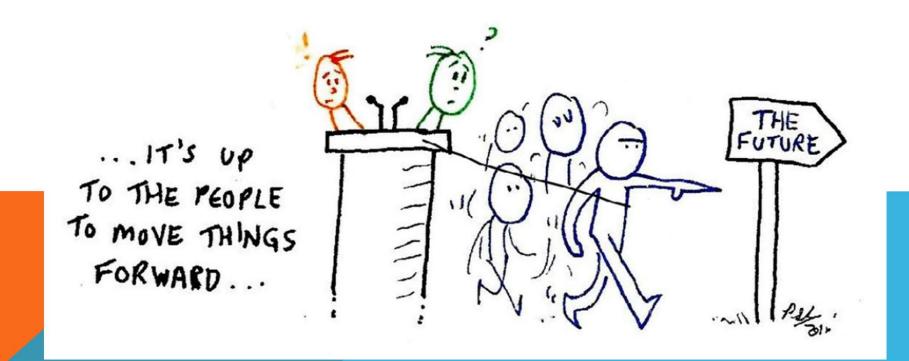
# **Social Democracy**

Combines liberal democracy with much greater provision of social rights of citizenship and typically greater public control of the economy.

There's A Difference Between
Democratic Socialism And
Social Democracy,
And It Matters.

# **Participatory Democracy**

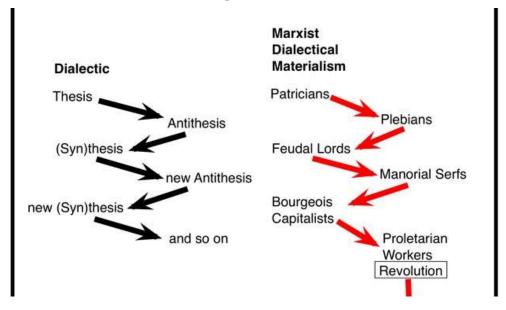
A form of democracy that encourages citizens to participate actively, in many ways beyond voting; usually focused at the local level.



#### Communism

Historical Materialism - The assumption that

material forces are the prime movers of history and politics; a key philosophical tenet of Marxism.



# Mode of Production

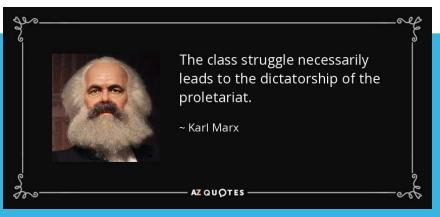
In Marxist theory, the economic system in any given historical era; feudalism to capitalism in the last millennium in Europe.





# Dictatorship of the Proletariat

In the first stage of communism in Marxist thought, characterized by absolute rule by workers as a class over all other classes.



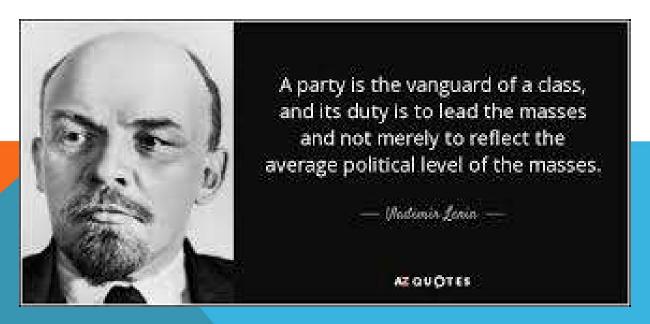


#### **Totalitarian State**

A state that controls virtually all aspects of society and eliminates all vestiges of civil society; Germany under Hitler and the Soviet Union under Stalin are key examples.

# **Vanguard Party**

Vladimir Lenin's concept of a small party that claims legitimacy to rule based on its understanding of Marxist theory and its ability to represent the interests of the proletariat before they are a majority of the populace.



#### **Fascism**

Corporatism – System of representation in which one organization represents each important sector of society; two subtypes are societal and state corporatism.

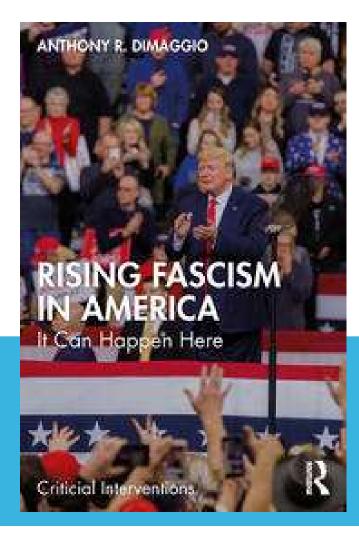


#### **Neofascist**

Description given to parties or political movements that

espouse a virulent nationalism, often defined on a cultural, racial, or religious basis and opposed to immigrants as threats to national security.





## **Modernizing Authoritarianism**

**Modernizing Authoritarianism** – A claim to legitimacy

based on the need to

"develop" the country via the rule of a modernizing elite.

#### Regimes – Modernizing Authoritarianism

- Not based on a single, consciously elaborated ideology, but rather on an appeal to a common set of precepts.
- Claim to legitimacy: Government will modernize or "develop" the country.
  - Modernization theory: Post-colonial societies must go through the same process to develop as the West did.
  - Modern elite: Relatively few highly educated people should have power.

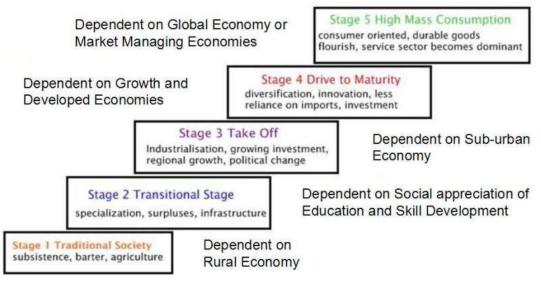
# Technocratic Legitimacy

A claim to rule based on knowledge or expertise.

## Regimes – Modernizing Authoritarianism

- Claim to legitimacy: Government will modernize or "develop" the country.
  - Technocratic legitimacy: Claim to rule based on knowledge.
  - Development requires national unity.
- Three institutional forms
  - One-party regimes
  - Military regimes
  - Personalist regimes

#### Rostow's 5 Stages of growth



Demographic research requirement using Rostow's model prior to Product Launch

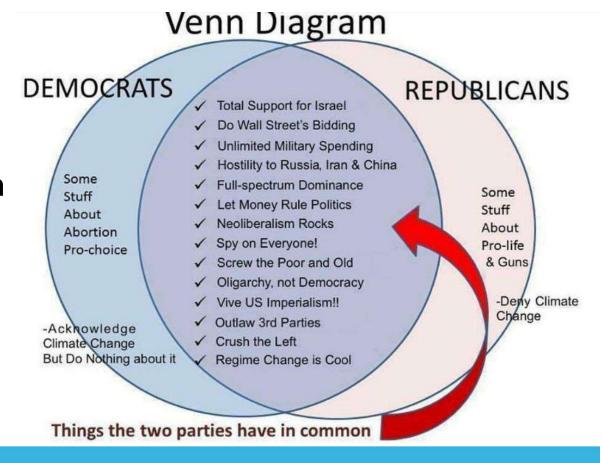
Dr. Krishnan Umachandran

# Modernization Theory

Theory of development that argues that postcolonial societies need to go through the same process that the West underwent in order to develop.

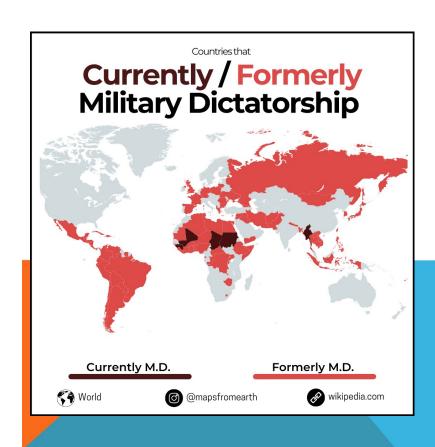
# One-Party Regime

A system of government in which a single party gains power, usually after independence in postcolonial states, and systematically eliminates all opposition.



# Military Regime

System of government in which military officers control power.





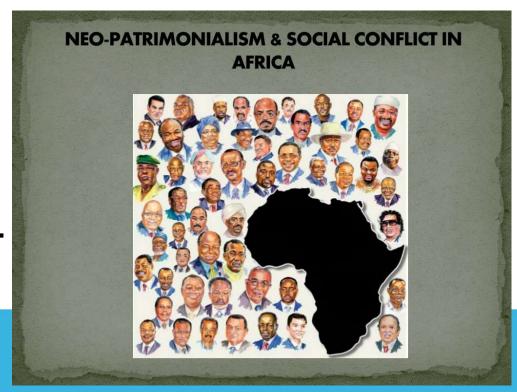
# Personalist Regimes

Personalist Regime – System of government in which a central leader comes to dominate a state, typically not only eliminating all opposition but also weakening the state's institutions to centralize power in his own hands.

## **Neopatrimonial Authority**

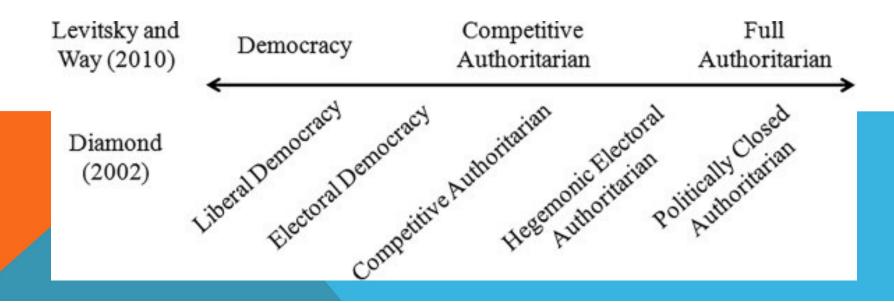
Power based on a combination of the trappings of

modern, bureaucratic states with underlying informal institutions of clientelism that work behind the scenes; most common in Africa.



#### **Electoral Authoritarianism**

Electoral Authoritarian Regime – Type of hybrid regime in which formal opposition and some open political debate exist and elections are held; these processes are so flawed that the regime cannot be considered truly democratic.



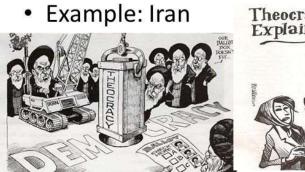
# COMPARATIVE POLITICS THEOCRACY

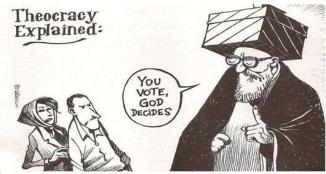
# Theocracy

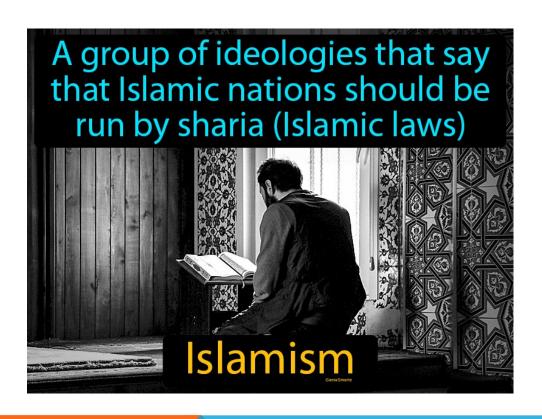
Theocracy

Rule by religious authorities.

- RULED BY GOD OR HIGHER POWER
  - Religious leaders are in control of country
  - Laws are based on their religious book
  - Disputes are settled by religious rules and regulations
  - Controlled by their religion & religious leaders







#### Islamism

The belief that Islamic law, as revealed by God to the Prophet Mohammed, can and should provide the basis for government in Muslim communities, with little equivocation or compromise.

#### Sharia

Muslim law.



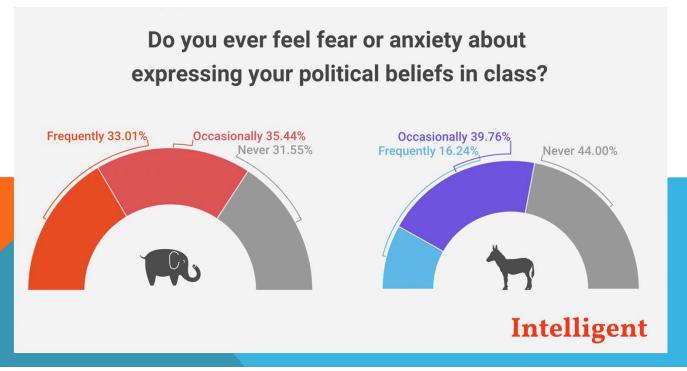
#### **Jihad**

Derived from an Arabic word for "struggle" and an important concept in Islam; the Quran identifies three kinds of jihad.

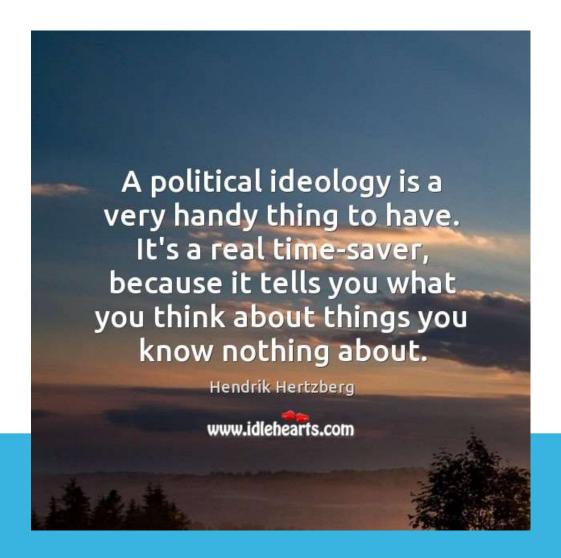
# Concluding thoughts and questions...

And so....

All political ideologies involve the question of the proper relationship between individual citizens and the state...



Since ideology alone does not explain how regimes arise and function, comparativists use a variety of theories to examine regimes.





Political Culture
Theorists – suggest
the first communist
dictatorships emerged
in Russia because of
long-standing
authoritarian elements
in Russian political
culture.



Marxists – use structural theories to explain the rise of particular regimes, and suggest ideologies (such as liberal democracy) are simply masks that justify class dictatorships of various sorts.

WHAT WAS NEW IN WHAT I DID WAS: (I) TO DEMONSTRATE
THAT THE EXISTENCE OF CLASSES IS TIED ONLY TO
DEFINITE HISTORICAL PHASES OF DEVELOPMENT OF
PRODUCTION: (2) THAT THE CLASS STRUGGLE NECESSARILY
LEADS TO THE DICTATORSHIP OF THE PROLETARIAT: (3)
THAT THIS DICTATORSHIP IS ONLY A TRANSITION TO THE
DISSOLUTION OF ALL CLASSES AND LEADS TO THE
FORMATION OF A CLASSLESS SOCIETY.

- KARL MARX -

LIBOUGIES COM

**JK [2]1** John Kropf, 9/25/2023

Institutionalists – argue that what matters most are not ideologies but how well developed their formal institutions are and their connection to informal institutions and practices.

# According to Veblen Institutions are place of socially shared mental habits. After the post war periods, focus of Institutionalisms moved to analysing the individual rather than the institutions which surrounded him/her. In the 1980s however, new institutionalism, sometimes called 'neo-institutionalism', has seen a revived focus on the study of institutions as a lens for viewing work in number of disciplines including

